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Signature: Daniel T. Morgan  
Printed Name: Daniel T. Morgan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mache, et al.	
Serial No.: 09/745,352	Group Art Unit: 2163
Filed: December 21, 2000	Examiner: TBA
Title: System and Method for Processing Like-Kind Exchange Transactions	Date: <u>December 20, 2001</u>
Attorney Docket No.: 10628-004	

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Daniel T. Morgan  
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President, e-LKE, LLC  
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Lakewood, CO 80228-1241

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Commissioner:

Section 1: Preliminary Statements:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the manual of patent examining procedure §§ 609 and 707.05(B), enclosed is Form PTO-1449 listing references that are known to Inventor/Applicant Daniel T. Morgan. A copy of each of the listed reference is enclosed. This filing is, as far as Inventor/Applicant Daniel T. Morgan knows, timely because it is, as far as Inventor/Applicant Daniel T. Morgan knows, made during one of the periods described in 37 CFR § 1.97(b).

While this Information Disclosure Statement, and the references cited in any enclosed Form PTO-1449 may be "material" pursuant to 37 CFR § 1.56, submission of this Information Disclosure Statement and citation of references listed in any enclosed Form PTO-1449 are not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

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Serial No. 09/745,352

It is respectfully requested that the listed reference be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of Form PTO-1449 be entered in the application file and returned to Applicant with the next communication from the office in accordance with MPEP §609.

Section 2. FORM 1449

A list of references is set forth on the enclosed Form PTO-1449.

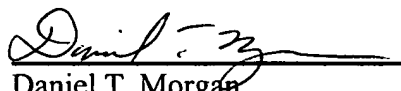
Section 3. Statement as to Information Material to Examination Not Found In Patents or Publications

The Declaration referenced below contains information and provide exhibits, some of which are proprietary to parties with whom Inventor/Applicant Dan Morgan previously worked and for whom Inventor/Applicant Dan Morgan endeavors to protect to the extent possible the proprietary nature of that material. Specifically, the below-referenced Declaration and some of its exhibits contain information proprietary to business entities with which and/or for which Inventor/Applicant Dan Morgan previously worked in the form of descriptions of business strategies, references to internal business facts, financial arrangements and other details concerning those business entities. Accordingly, the Declaration and the exhibits thereto are submitted herewith under MPEP § 724.02 in a sealed container labeled in accordance with MPEP § 724.02 in order to preserve the proprietary nature of the referenced information. Reference is made as follows:

Reference is made to the Declaration by Inventor/Applicant Daniel Morgan, entitled "VERIFIED STATEMENT (DECLARATION) BY DANIEL T. MORGAN IN SUPPORT OF INFORMATION DISCLOSURE STATEMENT IN U.S. APPLICATION SERIAL NO. 09/745,352", enclosed herewith, including the attachments thereto.

Respectfully submitted,

Date: December 20, 2001

  
Daniel T. Morgan  
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Please type a plus sign (+) inside this box → ☒

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Substitute for form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

## **Complete if Known**

Application Number	09/745,352
Filing Date	December 21, 2000
First Named Inventor	Mache, et al.
Group Art Unit	2163
Examiner Name	Unknown
Attorney Docket Number	10628-004

## **U.S. PATENT DOCUMENTS**

Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Number	Kind Code <sup>2</sup> (if known)			

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Declaration by DANIEL T. MORGAN, entitled "VERIFIED STATEMENT (DECLARATION) BY DANIEL T. MORGAN IN SUPPORT OF INFORMATION DISCLOSURE STATEMENT IN U.S. APPLICATION SERIAL NO. 09/745,352", pages 1-7 with Exhibits A-E attached, submitted herewith under MPEP § 724.02 as described in the accompanying INFORMATION DISCLOSURE STATEMENT in a sealed container labeled in accordance with MPEP § 724.02. ☐	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.  
 Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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